

ALSTOM INDIA LIMITED

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31 MARCH 2016

S. NO.	PARTICULARS	DETAILS
1	Name of Listed Entity	: ALSTOM INDIA LIMITED
2	Scrip Code	: 532309
	Name of Scrip	: AIL
	Class of Security	: EQUITY SHARES
3	Share Holding Pattern Filed under	: REG. 31(1)(b)
4	Declaration	
a	Whether any partly paid up shares issued?	: NO
b	Whether any Convertible Securities or Warrants issued?	: NO
c	Whether there are any shares against which depository receipts are issued?	: NO
d	Whether any shares are in locked-in?	: NO
e	Whether any shares held by promoters are pledged or otherwise encumbered?	: NO
5	The tabular format for disclosure of holding of specified securities is enclosed.	

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR,1957)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class - Equity Shares	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As % of (A+B+C2)	(IX)			(X)	(XI) = (VII) + (X) As % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	2	46,102,083	-	-	46,102,083	68.58	46,102,083	46,102,083	68.58	-	68.58	-	-	-	-	-	46,102,083
(B)	Public	42,490	21,125,388	-	-	21,125,388	31.42	21,125,388	21,125,388	31.42	-	31.42	-	-	NA	NA	20,097,035	
(C)	Non Promoter-Non Public	-	-	-	-	-	NA	-	-	-	-	NA	-	-	NA	NA	-	
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	NA	-	-	NA	NA	-	
(C2)	Shares held by Employes Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	
Total		42,492	67,227,471	-	-	67,227,471	100.00	67,227,471	67,227,471	100.00	-	100.00	-	-			66,199,118	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholders	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights		Total as a % of Total voting rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
									Class - Equity Shares	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI) = (VII) + (X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(1)	Indian																	
(a)	Individuals/Hindu undivided Family		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Central Government/State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	ALSTOM INDIA TRACKING B.V. (FORMERLY ALSTOM FINANCE B.V.)	AAGCA6883L	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
(ii)	GE ENERGY EUROPE B.V.	AAECG2920N	1	13,789	-	-	13,789	0.02	13,789	13,789	0.02	-	0.02	-	-	-	-	13,789
	Sub-Total (A)(2)		2	46,102,083	-	-	46,102,083	68.58	46,102,083	46,102,083	68.58	-	68.58	-	-	-	-	46,102,083
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	46,102,083	-	-	46,102,083	68.58	46,102,083	46,088,294	68.58	-	68.58	-	-	-	-	46,102,083

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholders	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
									Class - Equity Shares	Total								
									(I)	(II)	(III)			(IV)	(V)	(VI)	(VII)	
(1)	Institutions																	
(a)	Mutual Funds		52	8,422,806	-	-	8,422,806	12.53	8,422,806	8,422,806	12.53	-	12.53	-	-	NA	NA	8,422,606
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED THROUGH ITS VARIOUS ACCOUNTS	AAATB0102C	7	1,437,862	-	-	1,437,862	2.14	1,437,862	1,437,862	2.14	-	2.14	-	-	NA	NA	1,437,862
	RELIANCE CAPITAL TRUSTEE CO LTD, THROUGH ITS VARIOUS ACCOUNTS	AAATR0090B	10	3,601,772	-	-	3,601,772	5.36	3,601,772	3,601,772	5.36	-	5.36	-	-	NA	NA	3,601,772
	SUNDARAM MUTUAL FUND THROUGH ITS VARIOUS ACCOUNTS	AAATS2554B	14	1,139,602	-	-	1,139,602	1.70	1,139,602	1,139,602	1.70	-	1.70	-	-	NA	NA	1,139,602
	SBI THROUGH ITS VARIOUS ACCOUNTS	AABTS6407Q	3	1,232,000	-	-	1,232,000	1.83	1,232,000	1,232,000	1.83	-	1.83	-	-	NA	NA	1,232,000
(b)	Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c)	Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Foreign Portfolio Investors		63	1,870,439	-	-	1,870,439	2.78	1,870,439.0	1,870,439.0	2.78	-	2.78	-	-	NA	NA	1,870,227
(f)	Financial Institutions/Banks		39	959,065	-	-	959,065	1.43	959,065.0	959,065.0	1.43	-	1.43	-	-	NA	NA	936,074
	LIFE INSURANCE CORPORATION OF INDIA THROUGH ITS VARIOUS ACCOUNTS	AAACL0582H	2	735,093	-	-	735,093	1.09	735,093.0	735,093.0	1.09	-	1.09	-	-	NA	NA	735,093
(g)	Insurance Companies		3	351,629	-	-	351,629	0.52	351,629	351,629	0.52	-	0.52	-	-	NA	NA	351,629
(h)	Provident Funds/Pension Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(i)	Any Other		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Sub Total (B)(1)		157	11,603,939	-	-	11,603,939	17.26	11,603,939	11,603,939	17.26	-	17.26	-	-	NA	NA	11,580,536
(2)	Central Government / State Governments / President of India		3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
	Sub Total (B)(2)		3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
(3)	Non-Institutions																	
(a)	i. individual shareholders holding nominal share capital up to Rs. 2 lakhs		40,848	6,396,484	-	-	6,396,484	9.51	6,396,484	6,396,484	9.51	-	9.51	-	-	NA	NA	5,771,873
	ii. individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		12	458,133	-	-	458,133	0.68	458,133	458,133	0.68	-	0.68	-	-	NA	NA	360,917
(b)	NBFCs Registered with RBI		4	2,300	-	-	2,300	0.00	2,300	2,300	0.00	-	0.00	-	-	NA	NA	2,300
(c)	Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Overseas Depositories (Holding DRs) (balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Any Other		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	TRUSTS		6	5,287	-	-	5,287	0.01	5,287	5,287	0.01	-	0.01	-	-	NA	NA	5,287
	NON RESIDENT INDIANS		738	213,889	-	-	213,889	0.32	213,889	213,889	0.32	-	0.32	-	-	NA	NA	211,406
	CLEARING MEMBERS		76	17,791	-	-	17,791	0.03	17,791	17,791	0.03	-	0.03	-	-	NA	NA	14,349
	FOREIGN BODIES CORPORATES		1	8,383	-	-	8,383	0.01	8,383	8,383	0.01	-	0.01	-	-	NA	NA	-
	DIRECTORS		2	13,599	-	-	13,599	0.02	13,599	13,599	0.02	-	0.02	-	-	NA	NA	13,415
	BODIES CORPORATES		639	2,145,660	-	-	2,145,660	3.19	2,145,660	2,145,660	3.19	-	3.19	-	-	NA	NA	2,136,930
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	AABCB4623J	1	1,372,372	-	-	1,372,372	2.04	1,372,372	1,372,372	2.04	-	2.04	-	-	NA	NA	1,372,372
	FOREIGN NATIONALS		4	181	-	-	181	0.00	181	181	0.00	-	0.00	-	-	NA	NA	22
	Sub Total (B)(3)		42,330	9,261,707	-	-	9,261,707	13.78	9,261,707	9,261,707	13.78	-	13.78	-	-	-	-	8,516,499
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		42,490	21,125,388	-	-	21,125,388	31.42	21,125,388	21,125,388	31.42	-	31.42	-	-	-	-	20,097,035

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR,1957)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Total Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total voting rights	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As % of (A+B+C2)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total Non-Promoter-Non Public Shareholding (C) = (C1)+(C)2		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-