

ALSTOM INDIA LIMITED

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31 DECEMBER 2015

S. NO.	PARTICULARS	DETAILS
1	Name of Listed Entity	: ALSTOM INDIA LIMITED
2	Scrip Code	: 532309
	Name of Scrip	: AIL
	Class of Security	: EQUITY SHARES
3	Share Holding Pattern Filed under	: REG. 31(1)(b)
4	Declaration	
a	Whether any partly paid up shares issued?	: NO
b	Whether any Convertible Securities or Warrants issued?	: NO
c	Whether there are any shares against which depository receipts are issued?	: NO
d	Whether any shares are in locked-in?	: NO
e	Whether any shares held by promoters are pledged or otherwise encumbered?	: NO
5	The tabular format for disclosure of holding of specified securities is enclosed.	

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR,1957)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class - Equity Shares	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As % of (A+B+C2)	(IX)			(X)	(XI) = (VII) + (X) As % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	-	46,088,294
(B)	Public	43,203	21,139,177	-	-	21,139,177	31.44	21,139,177	21,139,177	31.44	-	31.44	-	-	NA	NA	20,095,126	
(C)	Non Promoter-Non Public	-	-	-	-	-	NA	-	-	-	-	NA	-	-	NA	NA	-	
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	NA	-	-	NA	NA	-	
(C2)	Shares held by Employees Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	
Total		43,204	67,227,471	-	-	67,227,471	100.00	67,227,471	67,227,471	100.00	-	100.00	-	-			66,183,420	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholders	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of Total voting rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class - Equity Shares	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI) = (VII) + (X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)	
(1)	Indian																
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Foreign																
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other																
	ALSTOM FINANCE BV	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
	Sub-Total (A)(2)	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholders	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class - Equity Shares	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)		(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Institutions																
(a)	Mutual Funds	58	8,452,759	-	-	8,452,759	12.57	8,452,759	8,452,759	12.57	-	12.57	-	-	NA	NA	8,452,559
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED THROUGH ITS VARIOUS ACCOUNTS	6	1,379,033	-	-	1,379,033	2.05	1,379,033	1,379,033	2.05	-	2.05	-	-	NA	NA	1,379,033
	RELIANCE CAPITAL TRUSTEE CO LTD. THROUGH ITS VARIOUS ACCOUNTS	10	3,868,140	-	-	3,868,140	5.75	3,868,140	3,868,140	5.75	-	5.75	-	-	NA	NA	3,868,140
	SUNDARAM MUTUAL FUND THROUGH ITS VARIOUS ACCOUNTS	14	1,165,497	-	-	1,165,497	1.73	1,165,497	1,165,497	1.73	-	1.73	-	-	NA	NA	1,165,497
	SBI THROUGH ITS VARIOUS ACCOUNTS	4	1,232,944	-	-	1,232,944	1.83	1,232,944	1,232,944	1.83	-	1.83	-	-	NA	NA	1,232,944
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Foreign Portfolio Investors	56	1,557,095	-	-	1,557,095	2.32	1,557,095	1,557,095	2.32	-	2.32	-	-	NA	NA	1,556,883
(f)	Financial Institutions/Banks	40	957,468	-	-	957,468	1.42	957,468	957,468	1.42	-	1.42	-	-	NA	NA	934,477
	LIFE INSURANCE CORPORATION OF INDIA THROUGH ITS VARIOUS ACCOUNTS	2	735,093	-	-	735,093	1.09	735,093	735,093	1.09	-	1.09	-	-	NA	NA	735,093
(g)	Insurance Companies	3	351,629	-	-	351,629	0.52	351,629	351,629	0.52	-	0.52	-	-	NA	NA	351,629
(h)	Provident Funds/Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(i)	Any Other	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Sub Total (B)(1)	157	11,318,951	-	-	11,318,951	16.84	11,318,951	11,318,951	16.84	-	16.84	-	-	NA	NA	11,295,548
(2)	Central Government / State Government(s) / President of India	3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
	Sub Total (B)(2)	3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
(3)	Non-Institutions																
(a)	i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs	41,456	6,520,138	-	-	6,520,138	9.70	6,520,138	6,520,138	9.70	-	9.70	-	-	NA	NA	5,880,062
	ii.Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	13	532,875	-	-	532,875	0.79	532,875	532,875	0.79	-	0.79	-	-	NA	NA	435,659
(b)	NBFCs Registered with RBI	7	6,231	-	-	6,231	0.01	6,231	6,231	0.01	-	0.01	-	-	NA	NA	6,231
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Overseas Depositories (Holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Any Other	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	TRUSTS	6	5,287	-	-	5,287	0.01	5,287	5,287	0.01	-	0.01	-	-	NA	NA	5,287
	NON RESIDENT INDIANS	722	207,859	-	-	207,859	0.31	207,859	207,859	0.31	-	0.31	-	-	NA	NA	205,376
	CLEARING MEMBERS	151	46,495	-	-	46,495	0.07	46,495	46,495	0.07	-	0.07	-	-	NA	NA	43,053
	DIRECTORS	2	13,599	-	-	13,599	0.02	13,599	13,599	0.02	-	0.02	-	-	NA	NA	13,415
	FOREIGN BODIES CORPORATES	1	8,383	-	-	8,383	0.01	8,383	8,383	0.01	-	0.01	-	-	NA	NA	-
	BODIES CORPORATES	679	2,219,236	-	-	2,219,236	3.30	2,219,236	2,219,236	3.30	-	3.30	-	-	NA	NA	2,210,273
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	1,410,270	-	-	1,410,270	2.10	1,410,270	1,410,270	2.10	-	2.10	-	-	NA	NA	1,410,270
	FOREIGN NATIONALS	6	381	-	-	381	0.00	381	381	0.00	-	0.00	-	-	NA	NA	222
	Sub Total (B)(3)	43,043	9,560,484	-	-	9,560,484	14.22	9,560,484	9,560,484	14.22	-	14.22	-	-	NA	NA	8,799,578
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	43,203	21,139,177	-	-	21,139,177	31.44	21,139,177	21,139,177	31.44	-	31.44	-	-	NA	NA	20,095,126

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR,1957)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Total Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of Total voting rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As % of (A+B+C2)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total Non-Promoter-Non Public Shareholding (C) = (C1)+	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-